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# NOTICE OF ALLOWANCE AND FEE(S) DUE

07/13/2007

Patent Counsel, M/S 2061 Legal Affairs Dept. Applied Materials, Inc. P.O. Box 450-A Santa Clara, CA 95035

**EXAMINER** GHYKA, ALEXANDER G PAPER NUMBER ART UNIT 2812 DATE MAILED: 07/13/2007

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/646,467	08/22/2003	Kenneth S. Collins	006915/P6	8489

TITLE OF INVENTION: PLASMA IMMERSION ION IMPLANTATION PROCESS USING AN INDUCTIVELY COUPLED PLASMA SOURCE

HAVING LOW DISSOCIATION AND LOW MINIMUM PLASMA VOLTAGE

• APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE .	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	10/15/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fec(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 7590 07/13/2007 Certificate of Mailing or Transmission I hereby certify that this Fec(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. Patent Counsel, M/S 2061 Legal Affairs Dept. Applied Materials, Inc. P.O. Box 450-A (Depositor's name) Santa Clara, CA 95035 (Signature (Date) CONFIRMATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. **FILING DATE** APPLICATION NO. 8489 006915/P6 Kenneth S. Collins 10/646.467 08/22/2003 TITLE OF INVENTION: PLASMA IMMERSION ION IMPLANTATION PROCESS USING AN INDUCTIVELY COUPLED PLASMA SOURCE HAVING LOW DISSOCIATION AND LOW MINIMUM PLASMA VOLTAGE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE ISSUE FEE DUE APPLN. TYPE SMALL ENTITY 10/15/2007 \$1700 \$0 \$300 nonprovisional \$1400 **CLASS-SUBCLASS EXAMINER** ART UNIT GHYKA, ALEXANDER G 2812 438-514000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  $\hfill \Box$  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE ☐ Individual ☐ Corporation or other private group entity ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4b. Payment of Fec(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: □ A check is enclosed. ☐ Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. Publication Fee (No small entity discount permitted) The Director is hereby authorized to charge the required fec(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_(enclose an extra copy of this form). ☐ Advance Order - # of Copies 5. Change in Entity Status (from status indicated above) □ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. **Authorized Signature** Registration No. \_ Typed or printed name

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/646,467	08/22/2003	Kenneth S. Collins	006915/P6	8489
759	07/13/2007		EXAMINER	
Patent Counsel, M	•		GHYKA, ALE	EXANDER G
Legal Affairs Dept.			ART UNIT	PAPER NUMBER
Applied Materials,			2812	
P.O. Box 450-A			DATE MAILED: 07/13/200	7
Santa Clara, CA 95	035			

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 711 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 711 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
	10/646,467	COLLINS ET AL.
Notice of Allowability	Examiner	Art Unit
	Alexander G. Ghyka	2812
The MAILING DATE of this communication ap All claims being allowable, PROSECUTION ON THE MERITS herewith (or previously mailed), a Notice of Allowance (PTOL-8 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3	IS (OR REMAINS) CLOSED in this a 35) or other appropriate communication RIGHTS. This application is subject	pplication. If not included on will be mailed in due course. THIS
1. This communication is responsive to	٠	
2. The allowed claim(s) is/are <u>1-4 and 6-85</u> .		
3. Acknowledgment is made of a claim for foreign priority  a) All b) Some* c) None of the:  1. Certified copies of the priority documents hat  2. Certified copies of the priority documents hat  3. Copies of the certified copies of the priority of International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	ave been received.  ave been received in Application No. of this communication to file a reply  NMENT of this application.	s national stage application from the
<ul> <li>4. A SUBSTITUTE OATH OR DECLARATION must be sub INFORMAL PATENT APPLICATION (PTO-152) which g</li> <li>5. CORRECTED DRAWINGS (as "replacement sheets") m <ul> <li>(a) including changes required by the Notice of Draftspe</li> <li>1) hereto or 2) to Paper No./Mail Date</li> </ul> </li> </ul>	ives reason(s) why the oath or declar oust be submitted. erson's Patent Drawing Review ( PTC	ration is deficient.
(b) ☐ including changes required by the attached Examine Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR)	er's Amendment / Comment or in the	rings in the front (not the back) of
each sheet. Replacement sheet(s) should be labeled as such in 6. DEPOSIT OF and/or INFORMATION about the department attached Examiner's comment regarding REQUIREMEN	posit of BIOLOGICAL MATERIAL	must be submitted. Note the
Attachment(s)  1.  Notice of References Cited (PTO-892)  2.  Notice of Draftperson's Patent Drawing Review (PTO-948)  3.  Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit of Biological Material	Paper No./Mail Da 7. ⊠ Examiner's Amend	y (PTO-413), ate
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)	Notice of Allowability	Part of Pape No./Mail Date 20070707

Application/Control Number: 10/646,467

Art Unit: 2812

### **DETAILED ACTION**

Claims 12-14, 18-29, 31, 36, 45-47, 70-72, and 81-82 are rejoined, upon the allowability of generic Claim 1.

### Examiner's Amendment

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Robert M. Wallace on July 7, 2007.

The application has been amended as follows:

In Claim 12, line 2, delete "layer", and insert – workpiece ---

In Claim 12, line 3, delete "layer", and insert – workpiece --.

### Allowable Subject Matter

Claims 1-4 and 6-85 are allowed.

The following is an examiner's statement of reasons for allowance: None of the references anticipate or make obvious *inter alia* generating from said process gas a plasma by inductively coupling RF source power into said processing zone from an RF

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source power generator through an inductively coupled RF source power applicator; applying an RF bias from an RF bias generator to said workpiece support; and controlling ion implantation depth of said species in said workpiece by setting said RF bias to a level corresponding to said desired implant depth, as required by the present Claims.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alexander G. Ghyka whose telephone number is (571) 272-1669. The examiner can normally be reached on Monday through Friday during general business hours.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Lebentritt can be reached on (571) 272-1873. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AGG July 07, 2007

> ALEXANDER GHYKA PRIMARY EXAMINER

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